

DEPARTMENT USE ONLY:

Ref No.

Rel No.

C/R No.

Date Proc.

STATE OF NEW JERSEY
DEPARTMENT OF BANKING and INSURANCE
OFFICE OF ADMINISTRATION AND FINANCE
LICENSING SERVICES BUREAU
PO Box 473
Trenton, NJ 08625

LICENSED LENDERS APPLICATION

INDICATE AUTHORITY(ies):

Mortgage Banker ☐ Mortgage Broker ☐ Correspondent Mortgage Banker ☐
Secondary Mortgage Lender ☐ Consumer Lender ☐ Sales Finance Company ☐

**YOU MUST INDICATE HERE WHETHER THE BUSINESS OR INDIVIDUAL EVER HAD A LICENSE
ISSUED BY THIS DEPARTMENT ☐ YES ☐ NO**

THIS APPLICATION IS FILED BY A: ☐ Corporation ☐ Sole Proprietor ☐ Partnership
☐ Limited Partnership ☐ Limited Liability Company

TYPE OR PRINT CLEARLY

1. Name of applicant: _____

D/B/A or Trade Name (if applicable) _____

2. N.J. Principal Business Address: (include County) _____

Contact Person _____ Tel. No. _____

3. Federal Tax Identification No. _____

4. The general books are maintained at: _____

Address

Telephone No.

Person to Contact

5. Officer/Partner/Sole Proprietor information (attach additional sheets if necessary):

NAME	TITLE	BUSINESS ADDRESS

6. Director information (attach additional sheets if necessary):

NAME	BUSINESS ADDRESS

7. Stockholders information (owners of more than 10%). Attach additional sheets if necessary.

NAME	% of OWNER-SHIP	BUSINESS ADDRESS

8. Name, residence and business address of the registered agent in this State _____

9. Date of incorporation/formation: _____

10. Place of incorporation/formation: _____ in the County of _____ State of _____

11. Date of authorization to do business in New Jersey _____ (applicable to foreign corporations).
Attach certified copy of certificate of incorporation/formation with all amendments to date.

12. Are any of the persons listed in question 5, 6, and 7 related by blood or marriage? Yes _____ No _____

13. Are all of the officers, directors, partners, owners or substantial stockholders over 18 years of age and citizens of the United States? Yes _____ No _____. If the answer is no, attach schedule giving details.

14. Is the applicant or any of the officers, directors, partners, owners, substantial stockholders now under investigation in this state, any other state, or federal jurisdiction? Yes _____ No _____

15. Has the applicant or any of the officers, directors, partners, owners, substantial stockholders been indicted, arrested (other than for motor vehicle violations) or convicted of any offense, crime or misdemeanor in this state, any other state, or by the federal government? Yes _____ No _____

16. Has the applicant or any officer, director, partner, owner, substantial stockholder ever had a license, or right to engage in this or any other business or profession, penalized, suspended, restrained, revoked, denied by any agency of this state, any other state, or by the federal government? Yes _____ No _____

17. Has the applicant or any officer, director, partner, owner, substantial stockholder of your organization ever held any license issued by the Department of Banking and Insurance? Yes _____ No _____. If yes, has any such license or right to engage in any other business or profession ever been involuntarily surrendered to any agency of this state, any other state, or by the federal government? Yes _____ No _____

18. Has the applicant or any officer, director, partner, owner or substantial stockholder ever filed a petition in bankruptcy or reorganization or been affiliated with any entity that has filed a petition in bankruptcy or reorganization? Yes _____ No _____. If yes, give particulars on a separate schedule including date of bankruptcy or reorganization proceedings, copy of petition in bankruptcy and copy of discharge, if applicable.

19. If you answered Yes to any of the above questions, provide detailed explanation and attach copies of any applicable documentation.

SOLE PROPRIETOR ONLY

20. Are you the subject of an arrest warrant for failing to comply with court ordered child support obligations and/or are you in arrears on such obligations for a period of six months or more? Yes _____ No _____

MAKING A FALSE STATEMENT MAY SUBJECT YOU TO CONTEMPT OF COURT.

NOTE: Disclosure of Social Security Numbers is mandatory for child support enforcement purposes. The authority to compel disclosure of Social Security Numbers is established at P.L. 1996, c7 and N.J.A.C. 3:1-20.

CERTIFICATION

I, the applicant, being duly sworn according to law depose and say that the answers set forth are true to the best of my knowledge and belief. This application is made for the purpose of inducing the issuance of a licensed lenders license and I understand that any information withheld or which represents a material misstatement will constitute grounds for rejection of this application by the Commissioner of Banking and Insurance.

Signed, sealed and delivered in
the presence

(Name of Applicant)

(CORPORATE SEAL)
(if applicable)

(Signature of Corporate President, Partner or Sole Proprietor)

Attest: _____
(Corporate Secretary or Witness)

Subscribed and sworn to before me at

this _____ day of _____ 20____

(Official Title)

Rev 5/04